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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/977,621	10/15/2001	Kazunnari Nakao	PC9985A	2547

7590

08/05/2002

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EXAMINER

STOCKTON, LAURA LYNNE

ART UNIT

PAPER NUMBER

1626

DATE MAILED: 08/05/2002

Please find below and/or attached an Office communication concerning this application or proceeding.



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EXAMINER

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DATE MAILED:

This is a communication from the examiner in charge of your application.
COMMISSIONER OF PATENTS AND TRADEMARKS

OFFICE ACTION SUMMARY

- ☐ Responsive to communication(s) filed on _____
- ☐ This action is **FINAL**.
- ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 D.C. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire _____ month(s), or thirty days whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

- ☒ Claim(s) 1-16 is/are pending in the application.
Of the above, claim(s) _____ is/are withdrawn from consideration.
- ☐ Claim(s) _____ is/are allowed.
- ☐ Claim(s) _____ is/are rejected.
- ☒ Claim(s) 1-16 is/are objected to.
_____ are subject to restriction or election requirement.

Application Papers

- ☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.
- ☐ The drawing(s) filed on _____ is/are objected to by the Examiner.
- ☐ The proposed drawing correction, filed on _____ is ☐ approved ☐ disapproved.
- ☐ The specification is objected to by the Examiner.
- ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

- ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- ☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been
- ☐ received.
- ☐ received in Application No. (Series Code/Serial Number) _____
- ☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____

- ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

- ☐ Notice of Reference Cited, PTO-892
- ☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). _____
- ☐ Interview Summary, PTC-413
- ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948
- ☐ Notice of Informal Patent Application, PTO-152

-SEE OFFICE ACTION ON THE FOLLOWING PAGES--

09/977,621

DETAILED ACTION

Claims 1-16 are pending in the application.

Election/Restrictions

Restriction to one of the following inventions is required under 35

U.S.C. 121:

- I. Claims 1-12 and 14, drawn to products of formula (I) wherein one of Y^1 , Y^2 , Y^3 and Y^4 is N and the remaining are either CH or C(L), classified in class 546, subclass 112+.
- II. Claims 1-12 and 14, drawn to products of formula (I) wherein Y^1 , Y^2 , Y^3 and Y^4 are each CH or C(L), classified in class 548, subclass 304.4+.
- III. Claims 1-7, 12 and 14, drawn to products of formula (I) not embraced by Groups I and II, classified in class 544, various subclasses.
- IV. Claim 13, drawn to a method of using the products of formula (I) wherein one of Y^1 , Y^2 , Y^3 and Y^4 is N and the

remaining are either CH or C(L), classified in class 514, subclass 299+.

- V. Claim 13, drawn to a method of using the products of formula (I) wherein Y^1 , Y^2 , Y^3 and Y^4 are each CH or C(L), classified in class 514, subclass 385+.
- VI. Claim 13, drawn to a method of using the products of formula (I) not embraced by Groups IV and V, classified in class 514, subclass 241+.
- VII. Claim 15, drawn to products of formula (II) wherein one of Y^1 , Y^2 , Y^3 and Y^4 is N and the remaining are either CH or C(L), classified in class 546, subclass 112+.
- VIII. Claim 15, drawn to products of formula (II) wherein Y^1 , Y^2 , Y^3 and Y^4 are each CH or C(L), classified in class 548, subclass 304.4+.
- IX. Claim 15, drawn to products of formula (II) not embraced by Groups VII and VIII, classified in class 544, various subclasses.

- X. Claim 16, drawn to products of formula (III) wherein one of Y^1 , Y^2 , Y^3 and Y^4 is N and the remaining are either CH or C(L), classified in class 546, subclass 268.1+.
- XI. Claim 16, drawn to products of formula (III) wherein Y^1 , Y^2 , Y^3 and Y^4 are each CH or C(L), classified in class 564, various subclasses.
- XII. Claim 16, drawn to products of formula (III) not embraced by Groups X and XI, classified in class 544, various subclasses.

The inventions are distinct, each from the other because of the following reasons: the products of Groups I-III, VII-IX and X-XII differ materially in structure and element so much so as to be patentably distinct. In addition, a reference which anticipates one group may not even render obvious the other.

Inventions of Groups I-III and Groups IV-VI are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the

product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the process for using the product as claimed can be practiced with another materially different product.

Because these inventions are distinct for the reasons given above, have acquired a separate status in the art as shown by their different classification, and the search required for Group I, for example, is not required for Group II, restriction for examination purposes as indicated is proper. Therefore, it would impose an undue burden on the Examiner and the Patent Office's resources to examine the instant application if unrestricted.

Additionally, Applicant is required under 35 U.S.C. 121 to elect a single disclosed species (e.g. Example, number, page number and

structural depiction) from whichever group is ultimately elected, even though this requirement is traversed.

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Upon the election of a single disclosed species (e.g. Example, page number and structural depiction), a generic concept, inclusive of the elected species, will be identified by the Examiner for examination. Moreover, whatever specific compound is ultimately elected, applicants are required to list all claims readable thereon from the elected group.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

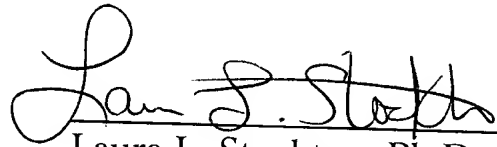
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Laura L. Stockton whose telephone number is (703) 308-1875. The examiner can normally be reached on Monday-Friday from 6:00 am to 2:30 pm. If the examiner is out of the Office, the examiner's supervisor, Joseph McKane, can be reached on (703) 308-4537.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-1235, 308-0196 or 305-3290.

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The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-4556, 308-4242, 305-1935 or 308-2742.

A handwritten signature in black ink, appearing to read "Laura L. Stockton", written over a horizontal line.

Laura L. Stockton, Ph.D.

Patent Examiner

Art Unit 1626, Group 1620

Technology Center 1600

August 2, 2002